EXHIBIT A
COMPREHENSIVE SUMMARY OF

CITY OF STOCKTON

UNREPRESENTED MANAGEMENT/CONFIDENTIAL EMPLOYEES' COMPENSATION PLAN

The City's Unrepresented Management/Confidential employees designated Management MA (Department Heads), Management MV (Assistant Department Heads and other Middle-Management), and Confidential MW (Administrative/Support Staff in the City Manager's Office, the Personnel Services Department, and the City Auditor's Office) are hereby accorded compensation and benefits as outlined in this Plan consistent with the Stockton City Council's objective to fairly and equitably provide for its Unrepresented Management/Confidential employees.

The Unrepresented Management/Confidential Employees' Compensation Plan shall be effective January 1, 1997, through December 31, 2008, and beyond that date until amended by action of the Stockton City Council.
# City of Stockton

**UNREPRESENTED MANAGEMENT/CONFIDENTIAL EMPLOYEES’ COMPENSATION PLAN**

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Unrepresented Management/Confidential Employees’ Compensation Plan

The Unrepresented Management/Confidential Employees’ Compensation Plan consists of a salary administration program and certain benefits specific to three (3) categories of non-represented employees listed in this Plan. This Plan shall be administered by the City Manager who is the City Council’s designated representative for determining compensation and benefits for Unrepresented Management and Confidential Employees. Management Plan "MA" includes the Assistant City Manager, Deputy City Manager II and Department Heads. Management Plan "MV" includes Assistant Department Heads and other Middle-Management classifications. Confidential Plan "MW" includes other administrative and support staff in the City Manager’s Office the Personnel Services Department, and the City Auditor’s Office.

Section 1. Retirement Contribution Supplement

1.1 The City will pay seven percent (7%) of the MA/MV/MW employees' current base salary and other compensation as qualified by state law toward Public Employees' Retirement System (P.E.R.S.). Such amounts will be applied to the employee’s individual accounts in accordance with California Government Code section 20615.

The City's P.E.R.S. retirement plan is modified to reflect two percent (2%) at age 55, effective January, 1993.

1.2 The City will pay nine percent (9%) of the Police Chief, the Fire Chief and Deputy Fire Chief’s current base salary and other compensation as qualified by state law toward P.E.R.S. Such amounts will be applied to the employee’s individual account in accordance with California Government Code section 20615.

1.3 The City will provide military service credit pursuant to the provisions of California Government Code section 20930.3 at the employee’s expense.

City of Stockton
Unrepresented Management/Confidential Employees' Compensation Plan

1.4 Effective January 1, 1997, the City will make application to provide P.E.R.S. California Government Code section 20615.5 (Employer Paid Member Contributions Converted to Payrate during the Final Compensation Period) as added P.E.R.S. benefits. At the beginning of employee's last year of employment, the employee will pay their employees' seven percent (7%) benefit cost through an automatic payroll deduction. The City will increase the base salary for those employees by the same seven percent (7%) for the last twelve (12) months of employment. Internal Revenue Service (IRS) Code 414H(2) will be concurrently implemented with P.E.R.S. California Government Code section 20615.5, to be effective upon adoption by the Stockton City Council and the P.E.R.S. Administration Board.

1.5 Effective, January 1, 1997, the City will make application to provide P.E.R.S. California Government Code section 20965 (Credit for Unused Sick Leave) as added P.E.R.S. benefits, to be effective upon adoption by the Stockton City Council and the P.E.R.S. Administration Board.

1.6 Effective January 1, 1998, the City will make application to provide P.E.R.S. California Government Code section 21382.5 (Fourth Level of 1959 Survivor Benefits) as added P.E.R.S. benefits, to be effective upon adoption by the Stockton City Council and the P.E.R.S. Administration Board.

1.7 The City will make application to provide PERS California Government Code Section 21335 (5% Annual Cost of Living Allowance Increase) as added PERS benefits, to be effective upon adoption by the Stockton City Council and PERS benefits, to be effective upon adoption by the Stockton City Council and PERS Administration Board. The intended implementation date base year will be 2001. The first application date will be April 1, 2003.
Unrepresented Management/Confidential Employees' Compensation Plan

Section 2. Group Life Insurance Coverage

Each participant in the program will receive group life insurance coverage paid for by the City of Stockton.

2.1 MA employees will receive life insurance coverage equal to twice times their annual salary. Effective January 1, 1997, MA employees will receive an additional fifty percent (50%) life insurance coverage. The life insurance coverage will be three (3) times their annual salary.

Effective January 1, 2001, MA employees will receive an additional half percent (.5%) life insurance coverage. The life insurance coverage will be three and one-half (3 ½) times their annual salary.

2.2 MV employees will receive coverage equal to one and one-half time their annual salary. Effective January 1, 1997, MV employees will receive an additional fifty percent (50%) life insurance coverage. The life insurance coverage will be two and one-quarter (2 ¼) times their annual salary.

Effective January 1, 2001, MV employees will receive an additional half percent (.5%) life insurance coverage. The life insurance coverage will be two and three-quarter (2 ¾) times their annual salary.

2.3 MW employees will receive coverage equal to their annual salary. Effective January 1, 1997, MW employees will receive an additional fifty percent (50%) life insurance coverage. The life insurance coverage will be one and one-half (1 ½) times their annual salary.

Effective January 1, 2001, MW employees will receive an additional half percent (.5%) life insurance coverage. The life insurance coverage will be two (2) times their annual salary.
Unrepresented Management/Confidential Employees' Compensation Plan

In all instances, coverage will be rounded to the nearest ONE THOUSAND DOLLARS ($1,000).

Section 3. Long Term Disability Insurance Coverage

MA, MV, and MW employees will receive long term disability insurance coverage. Essentially, this is an income protection plan which provides disability income for Unrepresented Management/Confidential employees as follows:

(a) Each disability - approximately 66 2/3% of salary for MA, MV, and MW employees.
(b) A 30-day waiting period before eligibility for benefit.
(c) Benefit payable until age sixty-five (65).

Section 4. Deferred Compensation

4.1 MA, MV, and MW employees will receive Deferred Compensation as a supplement to voluntary Deferred Compensation plans. The City will provide, in addition to normal salary, a contribution to expand retirement benefits as follows:

4.2 MA employees will receive four and one-half percent (4 1/2%) of annual salary in Deferred Compensation. Effective January 1, 1997, the City will contribute an additional one-half percent (1/2%) for a total of five percent (5%); effective January 1, 1998, an additional one-half percent (1/2%) for a total of five and one-half percent (5 1/2%); effective January 1, 1999, an additional one-half percent (1/2%) for a total of six percent (6%); and effective January 1, 2000, an additional one-half percent (1/2%) for a total of six and one-half percent (6 1/2%); effective January 1, 2001, an additional one-half percent (1/2%) for a total of seven percent (7%); and effective January 1, 2002, an additional one-half percent (1/2%) for a total of seven and one-half percent (7 1/2%).
4.3 MV employees will receive three and one-half percent (3 1/2%) of annual salary in Deferred Compensation. Effective January 1, 1997, the City will contribute an additional one-half percent (1/2%) for a total of four percent (4%); effective January 1, 1998, an additional one-half percent (1/2%) for a total of four and one-half percent (4 1/2%); effective January 1, 1999, an additional one-half percent (1/2%) for a total of five percent (5%); and effective January 1, 2000, an additional one-half percent (1/2%) for a total of five and one-half percent (5 1/2%).

4.4 MV employees will receive two and one-half percent (2 1/2%) of annual salary in Deferred Compensation. Effective January 1, 1997, the City will contribute an additional one-half percent (1/2%) for a total of three percent (3%); effective January 1, 1998, an additional one-half percent (1/2%) for a total of three and one-half percent (3 1/2%); effective January 1, 1999, an additional one-half percent (1/2%) for a total of four percent (4%); and effective January 1, 2000, an additional one-half percent (1/2%) for a total of four and one-half percent (4 1/2%).

4.5 Public Safety MA employees shall receive this benefit at the rate of two percent (2%) of annual salary. Effective January 1, 1997, the City shall contribute an additional one-half percent (1/2%) for a total of two and one-half percent (2 1/2%); effective January 1, 1998, an additional one-half percent (1/2%) for a total of three percent (3%); effective January 1, 1999, an additional one-half percent (1/2%) for a total of three and one-half percent (3 1/2%); and effective January 1, 2000, an additional one-half percent (1/2%) for a total of four percent (4%).
Unrepresented Management/Confidential Employees' Compensation Plan

4.6 Effective March 1, 2000, the City's obligation to make a deferred compensation contribution in the employees' names above and beyond employees' voluntary contributions shall cease. Instead, the value of this deferred compensation benefits payable as of January 1, 2000, shall be paid directly to employees as base pay. Employees may elect to defer the amount of additional pay that would be received pursuant to this section by directing that the total amount, or any portion thereof, be deposited in or distributed among any of the City's deferred compensation plans. In no event will the total City/employee contribution exceed that amount allowed by law. Participants will receive this benefit may participate during employment with the City of Stockton and generally cannot withdraw the funds until retirement or service termination.

Section 5. Physical Examination

5.1 MA and MV employees may schedule a physical examination annually. Cost of the examination, not to exceed ONE HUNDRED FIFTY DOLLARS ($150), will be paid by the City of Stockton.

5.2 MW employees may schedule a physical examination annually. Cost of the examination, not to exceed ONE HUNDRED FIFTY DOLLARS ($150), will be paid by the City of Stockton.

Section 6. Health and Dental Insurance

6.1 The City will provide MA, MV, and MW employees with a comprehensive health, dental/orthodontic, vision and prescription insurance program, as designated by the City of Stockton. The premiums for these coverages for employees and their eligible dependents shall be paid by the City.

6.2 The medical deductible is ONE HUNDRED FIFTY DOLLARS ($150) per person with a maximum of three (3) deductibles required per family per calendar year.
Unrepresented Management/Confidential Employees' Compensation Plan

6.3 The maximum coverage per year for dental insurance shall be ONE THOUSAND DOLLARS ($1,000).

Effective January 1, 2005, the maximum per year will be increased to ONE THOUSAND TWO HUNDRED DOLLARS ($1,200). Effective January 1, 2008, the maximum per year will be increased to ONE THOUSAND FOUR HUNDRED DOLLARS ($1,400).

Effective January 1, 1997, the orthodontic benefits coverage will increase from ONE THOUSAND DOLLARS ($1,000) to TWO THOUSAND DOLLARS ($2,000) lifetime maximum.

6.4 The City will provide MA, MV, and MW employees with a modified major medical plan effective January 1, 1993, the provisions of which are summarized in Appendix "A," attached hereto.

6.5 Effective January 1, 1997, the City will continue the above-stated medical coverage benefits for spouse and eligible dependents upon the death of an employee while employed with the City of Stockton. At age sixty-five (65), the spouse's City medical coverage shall be secondary coverage and supplemental to Medicare.

6.6 Health and Welfare Benefits During Layoff

Permanent employees who are laid off will have an option of maintaining their existing health and welfare benefits for thirty-six (36) months from the date of layoff, provided timely payments of the premiums by the employee are made to the City, according to City regulations, and provided the employee otherwise meets the requirements of Federal and State regulations.
Section 7. Retirement Medical Allowance

7.1 Maintenance of Benefits for Retirees

The City will contribute all premiums necessary for the purpose of providing hospital-medical and prescription benefits for each City employee who has retired. In addition, MA employees will be provided dental coverage. Such coverage shall include one (1) dependent and shall be determined by the eligible date noted below.

(a) Normal Service Retirement

Eligibility for the allowance provided by this Section is limited to employees who have retired subsequent to April 1, 1983, and who have retired at age fifty (50) or later. Such allowance shall terminate at age sixty-five (65).

(b) Disability Retirement

Eligibility for the allowance provided by this Section is limited to employees who have retired subsequent to April 1, 1983, and such allowance shall be limited to a maximum of fifteen (15) years or the attainment of age sixty-five (65), whichever occurs first.

7.2 Medical Deductible

The medical deductible is ONE HUNDRED FIFTY DOLLARS ($150) per person for employees who retire after January 1, 1993.

7.3 Prescription Coverage

Prescription coverage will be provided for retirees.
7.4 **Supplemental Medical Coverage**

At age sixty-five (65) the retiree medical allowance terminates and the employee is covered by Medicare. At age sixty-five (65) the retiree medical coverage shall be continued on a coordinated basis reduced by any amounts paid by Medicare.

7.5 The City will provide a modified medical plan to MA, MV, and MW employees who retire after January 1, 1993, the provisions of which are summarized in Appendix "A," attached hereto.

**Section 8. Holidays**

MA, MV, and MW employees will receive holiday benefits consistent with negotiated Memoranda of Understanding affecting other employees in their departments.

**Section 9. Vacation**

9.1 The vacation plan for MA, MV, and MW employees shall provide for a flat annual allowance, a maximum carry-over limit and a sell-back provision.

Employees shall, on July 1, of each year, receive a vacation allowance which shall be the employee's vacation entitlement for the fiscal year.

9.2 MA employees shall receive twenty-five (25) days.

9.3 MV employees shall receive twenty (20) days and an additional five (5) days for City service after fifteen (15) years and up to twenty-five (25) years.
Unrepresented Management/Confidential Employees' Compensation Plan

9.4 MW employees with five (5) years or less service on July 1, each year, receive fifteen (15) days and an additional five (5) days for City service after five (5) years of service, and an additional five (5) days for service after fifteen (15) years and up to twenty-five (25) years.

9.5 All Unrepresented Management/Confidential employees shall receive one (1) additional day vacation allowance per year for each year of service beyond twenty-five (25) years of service.

9.6 The Chief of Fire and the Chief of Police shall receive any additional vacation benefits consistent with negotiated Memoranda of Understanding affecting the Fire and Police Service Management Units.

9.7 The Deputy Chief of Fire shall receive any additional vacation benefits consistent with the negotiated Memoranda of Understanding affecting the Fire Management Services Unit.

9.8 All Unrepresented Management/Confidential employees shall be granted a maximum carry-over of one (1) previous year's vacation allowance plus fifteen (15) days as of June 30, of any year.

9.9 All Unrepresented Management/Confidential employees may carry over vacation time in excess of the maximum allowance mentioned above when such vacation remains because of being in a pay status during a period of illness or injury which precluded liquidating vacation credits earned in excess of the maximum allowed.

9.10 All Unrepresented Management/Confidential employees are allowed a maximum sell-back not to exceed designated unused vacation per year. MA employees may sell-back fifteen (15) days per year. MV and MW employees may sell-back ten (10) days per year. To qualify for this sell-back benefit, an employee must have used, either in the preceding or current fiscal year, an equivalent number of vacation days to the number of sell-back days.
Unrepresented Management/Confidential Employees’ Compensation Plan

Section 10. Mileage Reimbursement for Private Vehicle Use

Unrepresented Management Employees who are currently assigned a City vehicle to take home on a regular and permanent basis as a condition of employment may, at their own option, turn in their City vehicle for a monthly allowance to be established by the City Manager. In addition to the monthly allowance, a mileage reimbursement for out of the City area travel (30 or more mile radius) will be paid to the employee.

Allowances for use of personal vehicle in-lieu of a City vehicle will vary based on usage and vehicle type necessary to carry out the functions of the position. The amounts may be adjusted by the City Manager each year based on the average Consumer Price Index (CPI) during the preceding twelve (12) month period and the functional need of the position for vehicle usage.

Mileage reimbursement for use of personal vehicle unless otherwise provided herein shall be compensated at the current Internal Revenue Service (IRS) rate. Flat rate reimbursement for use of personal vehicle unless otherwise provided herein is:

<table>
<thead>
<tr>
<th>Miles</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 - 250</td>
<td>$60.00/mon</td>
</tr>
<tr>
<td>251 - 350</td>
<td>$85.00/mon</td>
</tr>
<tr>
<td>351 - 450</td>
<td>$126.00/mon</td>
</tr>
<tr>
<td>451 - 550</td>
<td>$154.00/mon</td>
</tr>
<tr>
<td>551 - 700</td>
<td>$196.00/mon</td>
</tr>
<tr>
<td>701 - 850</td>
<td>$238.00/mon</td>
</tr>
<tr>
<td>851 - 1000</td>
<td>$280.00/mon</td>
</tr>
</tbody>
</table>

Reimbursement for average mileage on City business greater than 1000 miles per month will be determined by the City Manager, provided no such reimbursement shall exceed IRS mileage reimbursement rate then in effect.
Unrepresented Management/Confidential Employees' Compensation Plan

All rates will be adjusted based on percent change of IRS mileage rate changes.

The private vehicle mileage reimbursement will be paid to employees who use their private vehicle for City business travel within the City or out of the City limit. Such usage must be documentable. Employees who receive flat monthly car allowance are eligible for mileage travel beyond a 30 mile radius of the City.

Section 11. Payment for Unused Sick Leave

Upon separation with ten (10) years or more of employment or upon termination of employment by reason of death, service or disability retirement, the employee or the employee’s estate will be paid fifty percent (50%) of the total unused sick leave at its current value.

Section 12. Educational Incentive Pay

Effective March 1, 2000, Educational Incentive Pay shall be available only to those employees who have completed twelve (12) months of continuous employment.

Employees with degrees/diplomas above and beyond that which is required of their position shall be provided three percent (3%) of the top step of the position. Employees are limited to no more than three percent (3%) regardless of the number of degrees/diplomas above that required of the position. If the employee promotes to a position which matches his/her diploma/degree, the three percent (3%) will no longer be paid. Experience may not substitute for education. Other formal education/training programs may substitute for the actual degree/diploma as the City Manager shall determine.

For employees in a classification requiring a Masters degree, those employees who possess double Masters will be eligible for three percent (3%) education incentive pay.
Unrepresented Management/Confidential Employees' Compensation Plan

Section 13. Other Benefits/Conflict of Benefits

13.1 Other benefits such as sick leave accrual rates, family sick leave, bereavement leave, court appearance, etc., afforded other employees through negotiated Memoranda of Understanding or other council-approved compensation plans and not specified herein, shall be provided to employees on an equal basis.

13.2 If a conflict exists in benefits, the City Manager may designate the appropriate schedule. If a conflict exists in salary for a classification that is the same as one in another unit, whether represented or unrepresented, the City Manager may designate the appropriate salary.

13.3 Salary Step Plan. Effective January 1, 1997, January 1, 1998, January 1, 1999, January 1, 2000, January 1, 2001, January 1, 2002, January 1, 2003, January 1, 2004, January 1, 2005, January 1, 2006, January 1, 2007, and January 31, 2008, salary increases for all employees in the aforementioned Unrepresented Management/Confidential Employees' Compensation Plan as set forth in Appendix "B," which is attached hereto and made a part hereof, shall equal to eighty percent (80%) of the Consumer Price Index for Urban Wage Earners and Clerical Workers' United States City Average (CPI-W), for the twelve (12) month period concluding with the November index of each preceding year. The salary increase shall be a minimum of two and one-half percent (2 1/2%) but not to exceed six percent (6%) (e.g. CPI-W increase of nine percent (9%) would still result in a six percent (6%) salary increase).

13.4 Professional Growth. Effective January 1, 2002, the City shall pay each employee who completes twelve (12) continuous years of service with the City, two and one-half percent (2.5%) of the top salary step of the employee's pay range to the employee as a professional growth allowance.

City of Stockton
Unrepresented Management/Confidential Employees' Compensation Plan

Section 14. Work Schedule

14.1 MA and MV employees shall not be eligible for overtime for extra hours worked to perform duties of their assigned position.

14.2 Department Heads are responsible for personally approving any overtime pay for MW employees within their respective departments, with a report submitted to the City Manager at the end of each pay period explaining the overtime.

Section 15. Term

The Unrepresented Management/Confidential Employees' Compensation Plan is in effect through December 31, 2008, and will remain in effect beyond December 31, 2008, until amended by action of the Stockton City Council.
## CITY OF STOCKTON

### MODIFIED EMPLOYEE MEDICAL PLAN (BENEFIT RECAP)

<table>
<thead>
<tr>
<th>Plan Pays This Portion of Allowed Rate If Member Providers Used</th>
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<tr>
<td>$150 per person, per year (maximum 3 per family).</td>
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### $1,000,000 Lifetime & Maximum

<table>
<thead>
<tr>
<th>Service</th>
<th>%</th>
<th>Description</th>
</tr>
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<tbody>
<tr>
<td>Rx</td>
<td>100%</td>
<td>After $3 Generic/$8 Brand name employee co-pay for up to 60 day supply of prescription drugs &amp; insulin. No vitamins, no birth control. (Note: Does not apply to deductible or max out-of-pocket).</td>
</tr>
<tr>
<td>Hospitalization</td>
<td>100%</td>
<td>Semi-private room rate. Pre-admit certification req'd. If non-emerg. Concurrent utilization review req'd. If non-member hospital used in area where member hospitals are available (70%).</td>
</tr>
<tr>
<td>Surgeon/Anesthesiologist</td>
<td>100%</td>
<td></td>
</tr>
<tr>
<td>Outpatient Surgery</td>
<td>100%</td>
<td></td>
</tr>
<tr>
<td>Outpatient Lab/Xray</td>
<td>100%</td>
<td>Includes preventive care: pap, mamm, prostate - frequency by age guidelines. Normal or C-Section for emp. or spouse.</td>
</tr>
<tr>
<td>OB Maternity</td>
<td>100%</td>
<td>Subject to Utilization Review and Case Management.</td>
</tr>
<tr>
<td>Home Health Care and Hospice</td>
<td>100%</td>
<td></td>
</tr>
<tr>
<td>Radiation/Chemo/therapy/Dialysis</td>
<td>100%</td>
<td></td>
</tr>
<tr>
<td>Emergency Room</td>
<td>100%</td>
<td>1st treatment of accid. inj. within 72 hrs. If health endangering or life threat. acute illness. Otherwise 90%.</td>
</tr>
<tr>
<td>ER Physician</td>
<td>100%</td>
<td>For surgery or for other approved emergency services. (Non-emergency illness 50%).</td>
</tr>
<tr>
<td>Ambulance</td>
<td>80%</td>
<td>Ground or Air</td>
</tr>
<tr>
<td>Preventive Care</td>
<td>80%</td>
<td>Well baby care first 2 yrs. Frequency by pediatric guidelines. Immunizations for children and adults. (Except for travel inoculations)</td>
</tr>
<tr>
<td>Phys. Office Visits</td>
<td>80%</td>
<td>When ill.</td>
</tr>
<tr>
<td>Chiropractic Visits</td>
<td>80%</td>
<td>Of allowable amounts. Subject to utilization review. Getting panel providers.</td>
</tr>
<tr>
<td>Other Covered Services</td>
<td>80%</td>
<td></td>
</tr>
<tr>
<td>Outpatient Psychotherapy</td>
<td>80%</td>
<td>1st 5 visits per year. 60% next 10 visits per year. (Max. 15 visits per year. Does not apply to max out-of-pocket.) Must initiate thru the City's E.A.P. Program.</td>
</tr>
<tr>
<td>Inpatient Psychiatric</td>
<td>80%</td>
<td>To max. $3,500 per year.</td>
</tr>
<tr>
<td>Alcohol and Drug Counseling/ Detox/Treatment</td>
<td>80%</td>
<td>To max. $2,000 lifetime. For alcohol &amp; drug counseling/detox/treatment. Must initiate thru the City's E.A.P. Program. For active only, not retirees.</td>
</tr>
</tbody>
</table>

**EMPLOYEE MAXIMUM OUT-OF-POCKET:** After the employee pays $1,000 in co-pays and deductibles for covered medical expenses incurred by a person during a single year, the Plan will then pay 100% of covered expenses for that person for the remainder of the year.

This is a brief recap only - for detailed benefits see Plan Document or call San Joaquin Foundation for Medical Care.