### IDDE Inspection Details:

Date of Inspection: 4/7/2021  
Time of Inspection: 2:09 PM  
Weather Conditions: Clear  
Inspector: Daniel Ramirez

<table>
<thead>
<tr>
<th>Responsible Party Information</th>
<th>Complaint Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Business: Michael Laptalo</td>
<td>Citizen</td>
</tr>
<tr>
<td>Contact Person:</td>
<td></td>
</tr>
<tr>
<td>Business Address: 2360 Zanker Rd</td>
<td></td>
</tr>
<tr>
<td>City: San Jose</td>
<td></td>
</tr>
<tr>
<td>State: CA</td>
<td></td>
</tr>
<tr>
<td>Zip Code: 95131</td>
<td></td>
</tr>
<tr>
<td>Phone Number:</td>
<td></td>
</tr>
<tr>
<td>Email Address:</td>
<td></td>
</tr>
</tbody>
</table>

**Storm Drains:**  
Score (1-5) 1  
(Onsite Storm drains, Storm drain contents, Storm drain treatment maintenance documentation (private), Storm drain labeling)  
Inspection consisted of: Observing storm drains, contents of the storm drains, Onsite treatment devises and reviewing maintenance history of the treatment devise, if storm drains are correctly labeled.

Comments: N/A

**Waste Management:**  
Score (1-5) 1  
(Trash receptacles covered & plugged, Operational Trash, Personal Trash, Floatables, pet waste management, staining around trash receptacles, etc.)  
Inspection consisted of: Observing site trash, trash receptacles and surrounding area, and pet waste management, leaking or heavily damaged receptacles.

Comments: N/A

**Fluid Management:**  
Score (1-5) 1  
Inspections Line Item Scores 3 - 5 result in a Failed Inspection and could result in a Notice of Warning, Notice of Violation, Cease and Desist, or a Stop Work Order.

All Line Items scoring a 3 – 5 result in photograph(s) being taken of the area of concern to document the potential violation.

City of Stockton | Stormwater Division | 2500 Navy Drive, Stockton, CA, 95206 | 209.937.8155
**Illicit Discharge Detection & Elimination Inspection (IDDE)**

---

**Oil stain observed. Absorbent used during inspection.**

**Illicit Connections:**  
Score (1-5) 1  

- Observation for illicit connections to the Storm Sewer System. Illicit Discharges into the Storm Sewer System. Unusual smells around the area of concern.

**Comments:** No signs of illicit connections/discharge observed onsite. Business occupied by new tenant-Metal Finishing Solutions, Inc.

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**Inspector's Observation Notes:**

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**Follow Up Action/Enforcement:**

**Comments:** No follow-up actions needed.

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**INSPECTION FEE: $199 (If violation is found)**  
**INSPECTION RESULT: PASS**

Signature of Facility Representative*: ___________________ Name: (printed) __________ Date: 4/7/2021

*Note: Signature of facility representative does not attest to content of report, only that the inspection occurred.

The City of Stockton Inspector is required to photograph items/areas that would contribute to a violation of City Stormwater Code (Title 13).
## Industrial Inspection Details:

- **Date of Inspection:** 11/23/2020
- **Time of Inspection:** 1:02:18 PM
- **Weather Conditions:** Sunny
- **Inspector:** Rick Justice

### Property Information

- **Name of Business:** AGI Manufacturing
- **Contact Person:** Mike Swain
- **Business Address:** P.O. Box 78084
- **City:** Stockton
- **State:** California
- **Zip Code:** 95205
- **Phone Number:** (209) 610-1805
- **Email Address:** Mike@agieng.com

### Permit Holder (LRP) Information

- **Name of Business:** AGI Engineering
- **Contact Person:** Alex Innes
- **Business Address:** P.O. Box 78084
- **City:** Stockton
- **State:** California
- **Zip Code:** 95205
- **Phone Number:** (209) 939-9900 xtn 2094306163
- **Email Address:** alex@agieng.com

### Documentation Management:

- **Score (1-5):** 3

  - Stormwater Pollution Prevention Plan (SWPPP): Yes, No
  - Advanced BMP’s Monitoring Implementation Plan: Yes, No
  - Annual Comprehensive Facility Compliance Evaluation: Yes, No
  - Quality Assurance and Record Keeping: Yes, No
  - Analytical Sampling Requirements: Yes, No
  - Employee Training Program: Yes, No
  - Working Hours: Yes, No
  - Minimum BMP’s: Yes, No
  - Site Map(s): Yes, No
  - Industrial Materials: Yes, No

### Site Map vs. Site Conditions:

- **Score (1-5):** 2

  - Vehicle & Equipment Maintenance Areas: Yes, No
  - Waste Treatment and Disposal Areas: Yes, No
  - Locations of Structural Controls: Yes, No
  - Locations of Material Storage: Yes, No
  - Material Processing Areas: Yes, No
  - Dust Generating Activities: Yes, No
  - Connections to MS4: Yes, No
  - Impervious Areas: Yes, No
  - Drainage Areas: Yes, No
  - Boundary: Yes, No
  - Sample Locations: Yes, No
  - Fueling Areas: Yes, No
  - Flow Directions: Yes, No
  - Outfalls: Yes, No

### Best Management Practices compared to Implementation of BMP’s:

- **Score (1-5):** 1

  - Comments: Missing monthly inspection, employee training, annual report

  - Site map needs ms4 labels.
Inspection consisted of a review of the SWPPP BMP’s and installation of BMP’s; review of the SWPPP BMP’s and how they are installed or implemented. Including: good housekeeping, preventive maintenance, spill and leak prevention and response, and erosion and sediment controls BMP’s.

Comments: No issue

<table>
<thead>
<tr>
<th>Storm Drains:</th>
<th>Score (1-5)</th>
<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Onsite Storm drains, Storm drain contents, Storm drain treatment maintenance documentation (private), Storm drain labeling)</td>
<td>Inspection consisted of observing storm drains, contents of the storm drains, onsite treatment devices and reviewing maintenance history of the treatment devise, and if storm drains are correctly labeled.</td>
<td></td>
</tr>
</tbody>
</table>

Comments: No issue

<table>
<thead>
<tr>
<th>Illicit Connections or Fluid Exiting (Present or Past) Facility:</th>
<th>Score (1-5)</th>
<th>3</th>
</tr>
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<td>(Illicit Storm drain connection internal to the facility, Fluids exiting the facility current, Fluids exiting the facility past signs)</td>
<td>Inspection consisted of observing the facility for fluids exiting the facility, staining from fluids exiting the building, asking facility operator if any known interior drain connections exist; if so inspecting them, looking for indications of possible interior drain connections.</td>
<td></td>
</tr>
</tbody>
</table>

Comments: Holes in rear yard not yet capped or certified not connected.

INSECTIION FEE: $232.00

INSPECTION RESULT: FAIL

Signature of Facility Representative*: Name: (printed) Mike Swain Date: 11/23/2020

*Note: Signature of facility representative does not attest to content of report, only that the inspection occurred.

The City of Stockton Inspector is required to photograph items/areas that would contribute to a violation of City Stormwater Code (Title 13).
Notice of Violation
10/20/2020

Permit Holder / Responsible Party: AGI Engineering
Contact: Alex Innes
Address: P.O. Box 78084
Phone: (209) 939-9900  xtn

Facility Details: AGI Manufacturing
Contact: Mike Swain
Address: P.O. Box 78084

NOTICE FEE: $192.00
Signature of Person Cited:* Mike Swain Date: 10/14/2020
*Note: Signature only acknowledges receipt, it is not an admission of guilt. The Notice is valid without a signature.

SECTION I. Inspection Details:

On 10/14/2020, a City of Stockton Stormwater Inspector conducted a industrial site inspection.

During the inspection, the Inspector identified multiple Stormwater violations listed in SECTION II in categories but each violation is separate to itself. (i.e. one oily part is one violation, two oily parts are two violations)

The City of Stockton Stormwater Division encourages proactive measures for future compliance rather than costly reactive remediating expenses. While this Notice is for the above referenced address the same principle apply to all similar properties within the City of Stockton city limits.

SECTION II. Required Remediation and Corrective Action:

Violation: Industrial - Documentation Management

Ordinance: 13.16.060 General discharge prohibition.

Required Remediation and Corrective Action:
Bring the onsite SWPPP into a current and live condition (the SWPPP should be an accurate representation of current site conditions at all times). Bring all required monthly stormwater inspections up to date. Train all appropriate personnel on the SWPPP. Document the process and provide a Best Management Practice for mitigating future like violation from occurring (Why did the violation happen, What was done to correct the violation, How will the site prevent the violation from occurring in the future).

Required Time Frame:
14 - Day(s)
Violation: Industrial - Illicit Connection

Ordinance: 13.16.060 General discharge prohibition.

**Required Remediation and Corrective Action:**
Remove all illicit connections from discharging into the storm drain system. Document the process and provide a Best Management Practice mitigating future like violations from occurring (Why did the violation happen, What was done to correct the violation, How will the site prevent the violation from occurring in the future).

**Required Time Frame:**
14 - Day(s) or before the next rain event (whichever occurs first).

Violation: Industrial - Site Map Management

Ordinance: 13.16.060 General discharge prohibition.

**Required Remediation and Corrective Action:**
Bring the Site Map into a current and live condition and provide a copy to the Stormwater Division for verification. Provide a Best Management Practice for mitigating future like violation from occurring (Why did the violation happen, What was done to correct the violation, How will the site prevent the violation from occurring in the future).

**Required Time Frame:**
14 - Day(s)
SECTION III. Additional Information

Pursuant to Local, State, and Federal laws and regulations.

See attached Industrial Inspection Report for additional details.

13.16.060 General discharge prohibition.

No person shall contribute or cause to be contributed, directly or indirectly, to the City storm drainage system any pollutant, wastewater, or any substance or material which will interfere with the operation or performance of the City storm drainage system or violate the City's NPDES permit. This general prohibition applies to all persons, and said persons are subject to applicable regulation under the Federal Stormwater Effluent Limitations Guidelines and any other Federal, State, or local standards, requirements, or regulations. (Prior code § 7-805)

13.16.070 Qualified discharge prohibitions.

A. The general prohibition shall also prohibit any person from contributing or causing to be contributed, directly or indirectly, the following substances to the City storm drainage system:
   1. Any substance which will cause the City to violate its NPDES permit, its State stormwater permit, or the applicable receiving water quality standards.
   2. Swimming pool water, even if it is dechlorinated.
   3. Pollutants to the City storm drainage system in excess of that amount that the Director has determined can be removed to the maximum extent practicable.

B. Wastes prohibited by this section shall not be stored in such a manner that they could be discharged to the City storm drainage system. All floor drains located in process or materials storage areas must discharge to the industrial user's wastewater pretreatment facility and shall not be connected to the City storm drainage system. However, the control authority may approve temporary discharges of the above-enumerated substances to the City storm drainage system when no reasonable alternative method for disposal is available. (Prior code § 7-806)

13.16.080 Discharges exempt from the general prohibition.

A. The general discharge prohibition shall not apply to any discharge regulated under a NPDES permit issued to the discharger and administered by the State under the authority of the United States Environmental Protection Agency, provided that the discharger is in full compliance with all requirements of the permit and other applicable laws or regulations.

B. Discharges from the following activities shall not be prohibited unless such discharges are identified by the control authority as sources of pollutants to waters of the State:
   1. Water line flushing and other discharges from potable water sources;
   2. Landscape irrigation and lawn watering;
   3. Diverted stream flows or rising groundwaters;
   4. Uncontaminated groundwater, infiltration to separate storm sewers;
   5. Uncontaminated pumped groundwater;
   6. Water from foundation and footing drains, crawl space pumps, and air conditioning condensation;
   7. Spring water or flows from riparian habitats and wetlands;
   8. Individual residential car washings;
   9. Street wash water; and
   10. Flows from firefighting, unless identified as significant sources of pollution. (Prior code § 7-807)
STORMWATER DIVISION

NOTICE OF VIOLATION
City of Stockton Municipal Code, Chapter 1 provides for the reimbursement of costs associated with obtaining compliance with the ordinances. In an effort to recover these costs, the Progressive Enforcement violation Inspection Fee, Case Processing Fees, Re-inspection Fees, Notice of Preparation Fees, Posting Fees, and Lien Fees are charged. In addition, there is a provision for the issuance of Notice of Violation with an Administrative Citation (Fine) of $213 and/or $532. These fees and Administrative Citations are subject to increases with the annual Fee Schedule adoption by the City Council. The issuance of Notice of Violation for discharges to waters of the state and draining of swimming pools can result in a minimum fine of $1,000. Each section violated is a separate offense and may carry an independent fine. Likewise, each day any violation exists is a separate offense.

Rights of Appeal
Cost reimbursement items (Inspection Fees, Case Processing Fees, Notice and Posting Fees etc.) are not subject to appeal. The Notice of Intent to Abate, he Administrative Citation (Fine) & Notice of Intent to Record may be appealed by filing the proper appeal from within ten (10) Calendar days from the date the Notice was issued. If the Notice was mailed, the appeal must be made within ten (10) Calendar days from the date the Notice was mailed. An appeal must be made in writing, on the proper Administrative Hearing Request Form, to the City of Stockton Municipal Utilities Department Stormwater Section, 2500 Navy Drive, Stockton CA 95206. There is a non Administrative Hearing Fee which must accompany the appeal form.

Failure of any person to properly file a written appeal and remit the required amount within ten (10) Calendar days shall constitute a waiver of his/her rights to an Administrative Hearing; an adjudication of the Notice of Violation or any part of it, and the total amount of the fine. Filing an appeal does not prevent subsequent code enforcement actions; however, all subsequent actions will be addressed at the hearing and do not require filing additional appeal forms.

Payment
The amount of the fine/fee is indicated on the front of the notice of violation. Cash payment must be made in person at the Administrative Services Department, City Hall, 425 North El Dorado Street, Stockton, CA 95202. Check, credit card or money order payment may be mailed to the Administrative Services Department address above. An invoice will be mailed out with a due date for payment.

Consequence of Failure to Pay
Violation fines not paid within 60 days will be charged a penalty of 10%, along with a 1% monthly interest fee. All other fees not paid within 25 days will be charged a 1% monthly interest fee. Property owners failing to pay the amount due by the due date are subject to liens and/or assessment to the property tax along with additional costs for administrative processing. The City of Stockton has the authority to collect all costs associated with the filing of such actions. Alternatively, the City may collect the fine in a civil or small claims court action.

Consequences of Failure to Correct Violations
There are numerous enforcement options that can be used to encourage the correction of violations. These options include, but are not limited to: civil penalties, abatement, criminal prosecution civil litigation, recording the violation with the County Recorder, and forfeiture of certain State tax benefits for substandard residential rental property. These options authorize the City to collect fines in excess of $100,000 per year, demolish structures, make necessary repairs at the owner’s expense, and incarcerate violators. Any of these options or others may be used if the Notice of Violation (s) does not achieve compliance.
### Industrial Inspection Details:

- **Date of Inspection:** 10/14/2020
- **Time of Inspection:** 11:53:54 AM
- **Weather Conditions:** Sunny
- **Inspector:** Rick Justice
- **Industrial Sector:**
- **Facility SIC Code:** 3599
- **Facility WDID #:** 5S39I028196

### Property Information

<table>
<thead>
<tr>
<th>Name of Business</th>
<th>AGI Manufacturing</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact Person</td>
<td>Mike Swain</td>
</tr>
<tr>
<td>Business Address</td>
<td>P.O. Box 78084</td>
</tr>
<tr>
<td>City</td>
<td>Stockton</td>
</tr>
<tr>
<td>State</td>
<td>California</td>
</tr>
<tr>
<td>Zip Code</td>
<td>95205</td>
</tr>
<tr>
<td>Phone Number</td>
<td>(209) 610-1805</td>
</tr>
<tr>
<td>Email Address</td>
<td><a href="mailto:Mike@agieng.com">Mike@agieng.com</a></td>
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</tbody>
</table>

### Permit Holder (LRP) Information

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<tr>
<th>Name of Business</th>
<th>AGI Engineering</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact Person</td>
<td>Alex Innes</td>
</tr>
<tr>
<td>Business Address</td>
<td>P.O. Box 78084</td>
</tr>
<tr>
<td>City</td>
<td>Stockton</td>
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<tr>
<td>State</td>
<td>California</td>
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<td>(209) 939-9900 xtn 2094306163</td>
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<tr>
<td>Email Address</td>
<td><a href="mailto:alex@agieng.com">alex@agieng.com</a></td>
</tr>
</tbody>
</table>

### Documentation Management:

<table>
<thead>
<tr>
<th>Item</th>
<th>Yes</th>
<th>No</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stormwater Pollution Prevention Plan (SWPPP)</td>
<td>Yes</td>
<td>No</td>
<td>Swppp and bmp inspections not available.</td>
</tr>
<tr>
<td>Advanced BMP’s Monitoring Implementation Plan</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Annual Comprehensive Facility Compliance Evaluation</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Quality Assurance and Record Keeping</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Analytical Sampling Requirements</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Employee Training Program</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Working Hours</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Minimum BMP’s</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Site Map(s)</td>
<td>Yes</td>
<td>No</td>
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</tr>
<tr>
<td>Industrial Materials</td>
<td>Yes</td>
<td>No</td>
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</tbody>
</table>

### Site Map vs. Site Conditions:

<table>
<thead>
<tr>
<th>Item</th>
<th>Yes</th>
<th>No</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vehicle &amp; Equipment Maintenance Areas</td>
<td>Yes</td>
<td>No</td>
<td>Not available</td>
</tr>
<tr>
<td>Waste Treatment and Disposal Areas</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Locations of Structural Controls</td>
<td>Yes</td>
<td>No</td>
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<tr>
<td>Locations of Material Storage</td>
<td>Yes</td>
<td>No</td>
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<tr>
<td>Material Processing Areas</td>
<td>Yes</td>
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</tr>
<tr>
<td>Dust Generating Activities</td>
<td>Yes</td>
<td>No</td>
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<tr>
<td>Connections to MS4</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Impervious Areas</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Drainage Areas</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Boundary</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Sample Locations</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Fueling Areas</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Flow Directions</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Outfalls</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>

### Best Management Practices compared to Implementation of BMP’s:

- **Score (1-5):** 1

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*Inspections Line Item Scores 3 - 5 result in a Failed Inspection and could result in a Notice of Warning, Notice of Violation, Cease and Desist, or a Stop Work Order. All Line Items scoring a 3 – 5 result in photograph(s) being taken of the area of concern to document the potential violation.*
Inspection consisted of a review of the SWPPP BMP’s and installation of BMP’s; review of the SWPPP BMP’s and how they are installed or implemented. Including: good housekeeping, preventive maintenance, spill and leak prevention and response, and erosion and sediment controls BMP’s

Comments: No bmp issues observed.

Storm Drains:

(Onsite Storm drains, Storm drain contents, Storm drain treatment maintenance documentation (private), Storm drain labeling)

Inspection consisted of observing storm drains, contents of the storm drains, onsite treatment devices and reviewing maintenance history of the treatment devise, and if storm drains are correctly labeled.

Comments: One storm drain sump in back.

Illicit Connections or Fluid Exiting (Present or Past) Facility:

(Illicit Storm drain connection internal to the facility, Fluids exiting the facility current, Fluids exiting the facility past signs)

Inspection consisted of: observing the facility for fluids exiting the facility, staining from fluids exiting the building, asking facility operator if any known interior drain connections exist; if so inspecting them, looking for indications of possible interior drain connections.

Comments: Unknown drains in back lot.

INSPECTION FEE: $232.00

INSPECTION RESULT: FAIL

Signature of Facility Representative: ______________________ Name: (printed) Mike Swain Date: 10/14/2020

*Note: Signature of facility representative does not attest to content of report, only that the inspection occurred.

The City of Stockton Inspector is required to photograph items/areas that would contribute to a violation of City Stormwater Code (Title 13).
# Industrial Inspection Details:

<table>
<thead>
<tr>
<th>Date of Inspection:</th>
<th>10/14/2020</th>
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</thead>
<tbody>
<tr>
<td>Time of Inspection:</td>
<td>11:53:54 AM</td>
</tr>
<tr>
<td>Weather Conditions:</td>
<td>Sunny</td>
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<td>Inspector:</td>
<td>Rick Justice</td>
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| Industrial Sector: |          |
| Facility SIC Code: | 3599      |
| Facility W/D ID #: | 5S39I028196|

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<tr>
<td>Stormwater Pollution Prevention Plan (SWPPP)</td>
<td>3</td>
<td>Swppp and bmp inspections not available.</td>
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<td>Advanced BMP’s Monitoring Implementation Plan</td>
<td>No</td>
<td></td>
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<td>Annual Comprehensive Facility Compliance Evaluation</td>
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<td>Quality Assurance and Record Keeping</td>
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## Site Map vs. Site Conditions:

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<th>Score (1-5)</th>
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<tr>
<td>Vehicle &amp; Equipment Maintenance Areas</td>
<td>3</td>
<td>Not available</td>
</tr>
<tr>
<td>Waste Treatment and Disposal Areas</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Locations of Structural Controls</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Locations of Material Storage</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Material Processing Areas</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Dust Generating Activities</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Connections to MS4</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Impervious Areas</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Drainage Areas</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Boundary</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Sample Locations</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Fueling Areas</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Flow Directions</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Outfalls</td>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>

### Best Management Practices compared to Implementation of BMP’s:

| Score (1-5) | 1 |

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Inspections Line Item Scores 3 - 5 result in a Failed Inspection and could result in a Notice of Warning, Notice of Violation, Cease and Desist, or a Stop Work Order. All Line Items scoring a 3 – 5 result in photograph(s) being taken of the area of concern to document the potential violation.
Inspection consisted of a review of the SWPPP BMP’s and Installation of BMP’s; review of the SWPPP BMP’s and how they are installed or implemented. Including: good housekeeping, preventive maintenance, spill and leak prevention and response, and erosion and sediment controls BMP’s

Comments: No bmp issues observed.

<table>
<thead>
<tr>
<th>Storm Drains:</th>
<th>Score (1-5)</th>
<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Onsite Storm drains, Storm drain contents, Storm drain treatment maintenance documentation (private), Storm drain labeling)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inspection consisted of Observing storm drains, contents of the storm drains, onsite treatment devices and reviewing maintenance history of the treatment devise, and if storm drains are correctly labeled.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Comments: One storm drain Sump in back.

<table>
<thead>
<tr>
<th>Illicit Connections or Fluid Exiting (Present or Past) Facility:</th>
<th>Score (1-5)</th>
<th>3</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Illicit Storm drain connection internal to the facility, Fluids exiting the facility current, Fluids exiting the facility past signs)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inspection consisted of: Observing the facility for fluids exiting the facility, staining from fluids exiting the building, asking facility operator if any known interior drain connections exist; if so inspecting them, looking for indications of possible interior drain connections.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Comments: Unknown drains in back lot.

**INSPECTION FEE:** $232.00

**INSPECTION RESULT:** FAIL

Signature of Facility Representative*: ___________________ Name: (printed) Mike Swain Date: 10/14/2020

*Note: Signature of facility representative does not attest to content of report, only that the inspection occurred.

The City of Stockton Inspector is required to photograph items/areas that would contribute to a violation of City Stormwater Code (Title 13).
IDDE Inspection Form  

Date of Inspection: 10/2/18  
Time of Inspection: 12:30 PM  
Inspector's Name: Akin Foyehun  
Grid ID:  

Weather Condition at Time of Inspection: Sunny  

Property Owner Info (Responsible Party):  
Name of Business: AGI Manufacturing  
Contact Person: Mike Swain  
Address: 1325 E Lina Dr.  
City: Stockton  
State: CA  
Zip: 95205  
Phone Number: (209) 939 9900 / (209) 610 1805  
Email Address: Mike.Agilen.com  

Complaint Source: ELO Bryan  
Complaint address or location (cross roads): 1325 E Lina Dr.  

Storm Drains:  
(Onsite Storm drains, Storm drain contents, Storm drain treatment maintenance documentation (private), Storm drain labeling)  
Inspection consisted of: Observing storm drains, contents of the storm drains, Onsite treatment devises and reviewing maintenance history of the treatment devise, if storm drains are correctly labeled.  
Inspector’s Comments: Fluid hasn't impacted storm drain. Storm drains need labeling.  

Score (1-5) 3  

Waste Management:  
(Trash receptacles covered & plugged, Operational Trash, Personal Trash, Floatables, pet waste management, staining around trash receptacles, etc.)  
Inspection consisted of: Observing site trash, trash receptacles and surrounding area, and pet waste management, leaking or heavily damaged receptacles.  
Inspector’s Comments: Garret from water jet in yard and in gutter. Water jet doesn't have secondary containment.  

Score (1-5) 5  

Fluid Management:  
(Chemicals, On-site Fueling stations, Spills of any kind, Containers, Secondary confinement applications, spill kits)  
Inspection consisted of: Observing fueling station miss-management, area fluid containers; labeling practices and secondary confinement uses; any sheens on surface of waters, any foam or other visual signs of fluids other than storm waters are present.  
Inspector’s Comments: Fluid from water jet leaking from basin. Run off into gutter. Contents known (garret). Equipment used to cut metal. Water jet doesn't have secondary containment. Drums on site without secondary containment.  

Score (1-5) 5  

Illicit Connections:  
(Illicit Storm drain connections or discharges)  
Inspection consisted of: Observing for illicit connections to the Storm Sewer System. Illicit Discharges into the Storm Sewer System. Unusual smells around the area of concern.  
Inspector’s Comments: Fluid from water jet in gutter headed to storm drain.  

Score (1-5) 5  

Inspector’s Observation Notes:  
clean up in progress. Equipment currently not running. Facility doesn't have industrial permit.  

Follow Up Action/Enforcement:  
Clean up all run off. Dispose with legal documentation.  

INSPECTION FEE $191.00  
(If violation is found)  

INPECTION RESULT: PASS / FAIL  

Signature of Facility Representative*:  
Name: (printed) Mike Swain  
Date: 10-2-18  

*Note: Signature of facility representative does not attest to content of report, only that the inspection occurred  

The City of Stockton inspector is required to photograph items/areas that would contribute to a violation of City Stormwater Code (Title 13).  

White: File copy  
Yellow: Finance copy  
Pink: Customer copy
NOTICE OF VIOLATION

10/02/2018

PROPERTY OWNER / RESPONSIBLE PARTY:

| Business Name: AGI Engineering          | 1325 El Pinal Dr. |
| Contact: Alex Innes                      |                  |
| Address: 1325 El Pinal Dr.               |                  |
| City/State/Zip: Stockton, California 95205 |                  |
| Phone: 209.939.9900/209.430.6163         |                  |
| Email: alex@agieng.com                   |                  |

NOTICE FEES:

- Case Processing Fee: $132.00
- Re-Inspection Fee: $132.00

RE: Stormwater Violations at - 1325 El Pinal Dr.

SECTION I. Inspection Details:

On 10/2/2018 a City of Stockton Stormwater Inspector conducted an Illicit Discharge Detection & Elimination (IDDE) inspection. The Facility Manager notified the Inspector that the facility is operating under the SIC code 3599.

During the inspection, the Inspector identified multiple Stormwater violations listed below in categories but each violation is separate to itself. (i.e. one oily part is one violation two oily parts is two violations)

- IND - Illicit Discharge
- IND - Non Filer (Operating w/out first obtaining Industrial Permit Coverage)
- IND - Waste Management
- IND - Fluid Management

The City of Stockton Stormwater Division encourages proactive measures for furture complaince rather than costly reactive remediation expenses. While this Notice is for the above referenced address the same principle apply to all AGI Engineering properties within the City of Stockton city limits.

SECTION II. Required Remediation and Corrective Action:

Violation: IND - Illicit Discharge

Ordinance: 13.16.060 General discharge prohibition.

Required Remediation or Corrective Action:
Remove all residual waste left from the illicit discharge. Prior to cleaning plug all relevant storm
drains to prevent additional discharges during the cleaning process. Provide to the Stormwater
Division all legal disposal documentation for waste generated in the cleaning process. Document
the process and provide a Best Management Practice for mitigating future like violation from
occurring (Why did the violation happen, What was done to correct the violation, How will the site
prevent the violation from occurring in the future).

**Required Time Frame:**
48 Hour(s)

Compliance Verified By: __________________________ Date: __________________________

**Violation:** IND - Non Filer (Operating w/out first obtaining Industrial Permit Coverage)

**Ordinance:** 13.16.060 General discharge prohibition.

**Required Remediation or Corrective Action:**
Develop and Implement an Industrial Stormwater Pollution Prevention Plan and apply for permit
coverage via the California Water Board. Document the process and provide a Best Management
Practice for mitigating future like violations from occurring (Why did the violation happen, What was
done to correct the violation, How will the site prevent the violation from occurring in the future).

**Required Time Frame:**
30 Day(s)

Compliance Verified By: __________________________ Date: __________________________

**Violation:** IND - Waste Management

**Ordinance:** 13.16.060 General discharge prohibition.

**Required Remediation or Corrective Action:**
Clean and remove all un-labeled or mis-used fluid containers from facility, provide legal disposal
documentation for both the fluids and containers. Document the process and provide a Best
Management Practice for mitigating future like violations from occurring (Why did the violation
happen, What was done to correct the violation, How will the site prevent the violation from occurring
in the future).

**Required Time Frame:**
48 Hour(s)

Compliance Verified By: __________________________ Date: __________________________

**Violation:** IND - Fluid Management

**Ordinance:** 13.16.060 General discharge prohibition.

**Required Remediation or Corrective Action:**
Clean and remove all standing fluids and residue from pavements/gutters tracking from the facility, provide legal disposal documentation for both the fluids and waste generated in the clean up process. Provide a secondary confinement for the collection basin to mitigate future like spills for occurring. Document the process and provide a Best Management Practice mitigating future like violations from occurring (Why did the violation happen, What was done to correct the violation, How will the site prevent the violation from occurring in the future).

Required Time Frame:
48 Hour(s)

Compliance Verified By: ___________________________ Date: ___________________________

SECTION III. Additional Information:

Pursuant to Local, State, and Federal laws and regulations.
See attached Inspection Report for additional details.

13.16.060 General discharge prohibition.

   No person shall contribute or cause to be contributed, directly or indirectly, to the City storm drainage system any pollutant, wastewater, or any substance or material which will interfere with the operation or performance of the City storm drainage system or violate the City’s NPDES permit. This general prohibition applies to all persons, and said persons are subject to applicable regulation under the Federal Stormwater Effluent Limitations Guidelines and any other Federal, State, or local standards, requirements, or regulations. (Prior code § 7-805)

13.16.130 Illicit discharge and illicit connections.

   It is unlawful for any person to establish, use, maintain, or continue illicit drainage connections to the City storm drainage system, and to commence or continue any illicit discharges to the City storm drainage system. This prohibition shall apply to connections in existence at the time of the adoption of the ordinance codified in this chapter, irrespective of whether such connection was made under a permit or other authorization or whether permissible under the laws or practices applicable or prevailing at the time the connection was made. At the time of final adoption of said ordinance, any user who maintains an illicit connection shall within 30 days from the effective date of said ordinance, disconnect and discontinue use of said connection. (Prior code § 7-812)

13.16.150 Best management practices—Industrial users and new developments and redevelopments.

   A. The Director of Municipal Utilities may adopt regulations establishing controls:

      1. On the volume and rate of stormwater runoff for each industrial discharger, discharger associated with construction activity, or other discharger, described in any general stormwater permit addressing such discharges, as may be adopted by the United States Environmental Protection Agency, the State Water Resources Control Board, or the California Regional Water Quality Control Board, Central Valley Region; or

      2. For discharges from new developments and redevelopments as may be appropriate to minimize the discharge and transport of pollutants. The Director of Municipal Utilities may require any construction contractor performing work in an unincorporated portion of the City to submit a Stormwater Pollution Prevention Plan prior to final map approval by City or prior to issuance of a building permit by City, whichever first occurs.

   B. Where best management practices guidelines or requirements have been adopted by any Federal, State, regional, County, and/or City agency, for any activity, operation, or facility which may cause or contribute to stormwater pollution or contamination, illicit discharges, and/or discharges of nonstormwater to the stormwater system, every person undertaking such activity or operation, or owning or operating such facility, shall comply with such guidelines or requirements as may be identified by the Director of Municipal Utilities.

   C. Each industrial discharger, discharger associated with construction activity, or other discharger, described in any general stormwater permit addressing such discharges, as may be adopted by the United States Environmental Protection Agency, the State Water Resources Control Board, or the California Regional Water Quality Control Board, Central Valley Region, shall provide notice of intent, comply with, and undertake all other activities required by any general stormwater permit applicable to such discharges. Furthermore, each discharger identified in an individual NPDES permit relating to stormwater discharges shall comply with and undertake all activities required by such permit. (Prior code § 7-814)

   If a stormwater underground treatment device(s) is installed on the property that would filter any water leaving the property. Please provide the most current maintenance record of all stormwater underground
treatment device(s) as a Best Management Practice (BMP) for mitigate future like violations.

Definitions:

Pollutant means dredged spoil, solid waste, incinerator residue, sewage, garbage, sewage sludge, munitions, chemical wastes, biological materials, radioactive materials, heat, wrecked or discarded equipment, rock, sand, cellar dirt, and industrial, municipal, and agricultural waste discharged into water.

**AGI Engineering is in direct violation of the above City Code(s) for each of the instances listed in Section I Inspections**

**Failure to maintain compliance with the above City Code(s) and Section II Required Remediation and Corrective Actions may result in future civil fines being issued of up to $25,000.00 per day per violation.**

Compliance of this “Notice” can only be realized through legal disposal documentation and passing re-inspection.

We look forward to continue working cooperatively with you to resolve these and any other issues that arise. Please utilize the City of Stockton’s Stormwater Division as an additional resource of information.

If you have any questions or concerns, please don’t hesitate to contact me.

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Akintayo Fayehun  
City of Stockton | Stormwater  
209.937.8282  
Akintayo.fayehun@stocktonca.gov
NOTICE OF VIOLATION

City of Stockton Municipal Code, Chapter 1, provides for the reimbursement of costs associated with obtaining compliance with the ordinances. In an effort to recover these costs, the Progressive Enforcement violation Inspection Fee, Case Processing Fees, Re-inspection Fees, Notice of Preparation Fees, Posting Fees, and Lien Fees are charged. In addition, there is a provision for the issuance of Notice of Violation with an Administrative Citation (Fine) of $213 and/or $532. These fees and Administrative Citations are subject to increases with the annual Fee Schedule adoption by the City Council. The issuance of Notice of Violation for discharges to waters of the state and draining of swimming pools can result in a minimum fine of $1,000. Each section violated is a separate offense and may carry an independent fine. Likewise, each day any violation exists is a separate offense.

Rights of Appeal
Cost reimbursement items (Inspection Fees, Case Processing Fees, Notice and Posting Fees etc.) are not subject to appeal. The Notice of Intent to Abate, the Administrative Citation (Fine) & Notice of Intent to Record may be appealed by filing the proper appeal from within ten (10) Calendar days from the date the Notice was issued. If the Notice was mailed, the appeal must be made within ten (10) Calendar days from the date the Notice was mailed. An appeal must be made in writing, on the proper Administrative Hearing Request Form, to the City of Stockton Municipal Utilities Department Stormwater Section, 2500 Navy Drive, Stockton CA 95206. There is a non Administrative Hearing Fee which must accompany the appeal form.

Failure of any person to properly file a written appeal and remit the required amount within ten (10) Calendar days shall constitute a waiver of his/her rights to an Administrative Hearing; an adjudication of the Notice of Violation or any part of it, and the total amount of the fine. Filing an appeal does not prevent subsequent code enforcement actions; however, all subsequent actions will be addressed at the hearing and do not require filing additional appeal forms.

Payment
The amount of the fine/fee is indicated on the front of the notice of violation. Cash payment must be made in person at the Administrative Services Department, City Hall, 425 North El Dorado Street, Stockton, CA 95202. Check, credit card or money order payment may be mailed to the Administrative Services Department address above. An invoice will be mailed out with a due date for payment.

Consequence of Failure to Pay
Violation fines not paid within 60 days will be charged a penalty of 10%, along with a 1% monthly interest fee. All other fees not paid within 25 days will be charged a 1% monthly interest fee. Property owners failing to pay the amount due by the due date are subject to liens and/or assessment to the property tax along with additional costs for administrative processing. The City of Stockton has the authority to collect all costs associated with the filing of such actions. Alternatively, the City may collect the fine in a civil or small claims court action.

Consequences of Failure to Correct Violations
There are numerous enforcement options that can be used to encourage the correction of violations. These options include, but are not limited to: civil penalties, abatement, criminal prosecution, civil litigation, recording the violation with the County Recorder, and forfeiture of certain State tax benefits for substandard residential rental property. These options authorize the City to collect fines in excess of $100,000 per year, demolish structures, make necessary repairs at the owner’s expense, and incarcerate violators. Any of these options or others may be used if the Notice of Violation(s) does not achieve compliance.
Inspector Name: Akin Fayehun
Date of Inspection: 10/02/2018

Weather Conditions at Time of Inspection: Sunny

Property Owner Info (Responsible Party):
Name of Business: AGI Engineering
Contact Person: Alex Innes
Street: 1325 El Pinal Dr.
City: Stockton State: California Zip: 95205
Phone Number: 209.939.9900/209.430.6163
Email Address: alex@agieng.com

Complaint Source:
ECO Bryan Toledo

Complaint Address or Location (cross roads):
1325 El Pinal Dr.

Storm Drains:
Score (1-5): 3
(Onsite Storm drain, Storm drain contents, Storm drain treatment maintenance documentation (private), Storm drain labeling)
Inspection consisted of: Observing storm drains, contents of the storm drains, Onsite treatment devices and reviewing maintenance history of the treatment device, if storm drains are correctly labeled.
Inspector Comments: Fluid hasn't impacted storm drain.

Waste Management:
Score (1-5): 5
(Trash receptacles covered & plugged, Operational Trash, Personal Trash, Floatables, pet waste management, staining around trash receptacles, etc.)
Inspection consisted of: Observing site trash, trash receptacles and surrounding area, and pet waste management, leaking or heavily damaged
Inspector Comments: Uncovered and unlabeled barrels on site filled with fluid and unknown substance.

Fluid Management:
Score (1-5): 5
(Chemicals, On-site Fueling stations, Spills of any kind, Containers, Secondary confinement applications, spill kits)
Inspection consisted of: Observing fueling station miss-management, area fluid containers; labeling practices and secondary confinement uses; any sheens on surface of waters, any foam or other visual signs other than stormwater are present.
Inspector Comments: Fluid from water jet leaking from basin. Run off in gutter. Known content (Garnet). Equipment used to cut metal. Water jet doesn’t have secondary containment. Drums on site uncovered and without secondary containment.

Illicit Connections:
Score (1-5): 5
(Illcit Storm drain connections or discharges)
Inspection consisted of: Observing for illicit connection to the Storm Sewer System, Illicit Discharges into the Storm Sewer Systems, Unusual smells around the area of concern.
Inspector Comments: Fluid from water jet in gutter headed to the storm drain. Fluid stopped before reaching storm drain via sand pike.

INSPECTION FEE: $191.00 (If Violation is found)

Inspector’s Observations:
Clean up in progress. Equipment currently not running. Facility doesn’t have industrial permit.

Follow-up Action/Enforcement:
Clean up all runoff. Dispose with legal documentation. Cease operation of equipment causing illicit discharge until secondary containment is implemented. Provide an industrial permit for the facility (SIC code 3599).

Signature of Representative: ___________________________ Name: (printed) ___________________________ Date: _____________

*Note: Signature of facility representative does not attest to content of report, only that the inspection occurred

The City of Stockton Inspector is required to photograph items/areas that would contribute to a violation of City Stormwater Code (Title 13).
Storm Drains Photos:

Waste Management Photos:
Fluid Management Photos:
Illicit Connection Photos: